

# Wisconsin Deferred Compensation Program

## Plan Status Report 4th Quarter 2001

### Part I: Statistics By Investment Option as of December 31, 2001

#### Total Program

Fund		Participants		Plan Assets		
	Date Added to Plan	Active*	Total**	Deferrals Current Quarter	Investment Performance	Balance as of 12-31-01
FDIC - Firststar Bank	03-15-88	1,564	2,930	\$ 542,270	\$ 370,918	\$ 36,877,982
Stable Value Fund	04-01-84	5,106	9,366	\$ 1,871,844	\$ 2,626,852	\$ 167,875,315
Vanguard Admiral Treasury MMF	11-01-93	1,929	3,404	\$ 526,640	\$ 207,684	\$ 30,726,066
Federated U.S. Gov't (2-5 Yrs)	02-01-92	1,935	2,764	\$ 316,500	\$ (70,225)	\$ 14,373,404
Vanguard Long-Term Corporate	02-01-92	5,147	-	\$ 238,334	\$ 766,905	
Vanguard Long-Term Corporate Adm	10-26-01	5,397	7,412	\$ 613,706	\$ (466,792)	\$ 34,693,179
US Debt Index Fund	02-01-01	363	449	\$ 72,144	\$ 2,032	\$ 1,899,760
Vanguard Wellington	02-01-92	7,683	-	\$ 539,274	\$ 3,467,384	
Vanguard Wellington Admiral	10-26-01	8,055	12,188	\$ 1,408,104	\$ 3,317,214	\$ 105,459,833
Vanguard Institutional Index Plus	09-01-99	12,886	18,299	\$ 3,363,783	\$ 16,575,202	\$ 172,355,590
Janus Fund	02-01-94	16,714	22,574	\$ 4,352,273	\$ 18,973,051	\$ 159,962,039
Fidelity Contrafund	02-01-94	13,840	19,021	\$ 3,378,866	\$ 10,312,573	\$ 165,197,082
Dreyfus Premier Third Century R	02-01-00	4,678	6,516	\$ 856,282	\$ 2,718,512	\$ 31,580,475
T. Rowe Price Mid Cap Growth	02-01-98	11,140	13,975	\$ 2,242,334	\$ 12,840,295	\$ 74,481,668
DFA US Micro Cap Portfolio	02-01-97	7,423	9,542	\$ 1,305,815	\$ 8,458,416	\$ 49,666,554
MidCap Equity Index Fund	02-01-01	1,105	1,292	\$ 236,882	\$ 661,864	\$ 4,951,083
T. Rowe Price International	02-01-92	9,343	13,098	\$ 1,471,260	\$ 4,801,460	\$ 53,740,211
EAFE Equity Index Fund	02-01-01	281	311	\$ 38,966	\$ 33,518	\$ 539,021
Schwab PCRA	02-17-00	17	644			\$ 18,142,743
<b>Total</b>		<b>27,998</b>	<b>37,809</b>	<b>\$ 23,375,277</b>	<b>\$ 85,596,864</b>	<b>\$ 1,122,522,005</b>

\* This column represents the number of participants who have deferred to this option during the period

\*\* This column represents the number of participants who have a balance at the end of the period

Neither column will add to the totals at the bottom because participants could have multiple investment options

# Wisconsin Deferred Compensation Program

## Plan Status Report 4th Quarter 2001

### Part II: Plan Participation Statistics

	State Employees	Local Employees	Total Program
Total Participants Prior Quarter	24,656	12,713	37,369
Plus New Enrollments / Deferrals Received This Quarter	375	245	620
Withdrawals:			
Lump Sum: Full	59	29	88
Partial	20	3	23
Annuity: Full	0	0	0
Partial	0	0	0
Periodic Payments: Last	29	12	41
First	66	21	87
Hardship: Full	5	1	6
Partial	5	0	5
Transfers Out: Full	19	23	42
Partial	0	0	0
De Minimis: Full	1	2	3
Partial	1	0	1
Less Final Withdrawals	113	67	180
Total Participants This Quarter	24,918	12,891	37,809

Transactions Processed This Quarter	
Active Participants This Quarter	27,998
Average Monthly Deferral	\$278.79
Number of Increases / Decreases to Deferral Amounts	2,612
Number of Exchanges***	26,013
Number of Investment Allocation Changes	644
Employer Activity	
Number of New Employers Added During Quarter	6
Number of Discontinuing Employers This Quarter *	0
Number of Discontinuing Employers Since Inception *	9
Total Employers **	567

\*\*\* Includes Administrative exchanges into Vanguard Admiral Class funds

\* Represents employers who have passed a resolution discontinuing the Program. Some of their employees may yet have account balances.

\*\* Represents all employers currently participating, adjusted for prior period errors.

# Wisconsin Deferred Compensation Program

## Performance Standards Report 4th Quarter 2001

### Part I: Participant Services

Contract Provision		Standard	Number Processed	% Met Standard
5.4. G.	Enrollment Applications	Processed within 5 days; Established within 31 days or later if requested.	746	100%
5.5. B.	Increase / Decrease Deferrals	Processed within 5 days; Effective within 31 days or later if requested.	2,612	100%
5.5. C.	Allocation Changes	Processed within 5 days; Effective next pay or later if requested.	644	100%
5.5. D.	Exchanges	Processed same day if received by 3:00 PM or next day if later.	26,013	100%
5.7. C.	Lump Sum Distributions	Within 3 working days of receipt provided at least 61 days has passed since the participant separated from service.	88	100%
5.7. D.	Annuity Payments	Within 3 working days or within a time frame necessary to effect payment, begin date requested by participant.	0	N/A
5.7. E., F., G., H.	Installment Payment Options	Processed within 3 days; Payments on the next 1st, 8th, 15th or 22nd, or later if requested by the participant.	8,033	100%
5.5. H.	Complaints Error Resolution	Respond within 1 day; Resolve within 5 days.	28*	100%
5.6. B.	Financial Emergency Withdrawal	Forwarded to Department within 5 days; Distribution within 10 days.	20	100%

\* Isolated Issues handled by the Wisconsin Office. Other Plan errors have been communicated under separate cover.

# Wisconsin Deferred Compensation Program

## Performance Standards Report 4th Quarter 2001

### Part 2: Administrative Services

Contract Provision		Standard	% Met Standard
4.1.	Participant Fees	Assessed monthly and deposited on date assessed.	100%
4.3.	Payments to NDC	From Plan's account on the first business day of the month.	100%
4.4.	Reimbursements to Department	15 days of request.	100%
5.2.	Quarterly Enrollment Report	To the Department within 30 days of the end of each quarter.	100%
5.5. F., G., I.	Participant Statements, Performance Report, Newsletter	Distributed to Participants within 20 days of the end of each quarter.	100%
5.10. B.	Deferrals Credited to Accounts	On the day received if by 2:00 PM Central Time, otherwise the next business day after properly received.	100%
5.10. C.	Deferrals Sent to Investment Companies	On the date received in an approval form.	100%
5.12.	Reports to the Department	Within 30 days of the end of the month, or quarter for quarterly reports.	100%